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| <b>TRANSMITTAL LETTER TO THE UNITED STATES<br/>DESIGNATED/ELECTED OFFICE (DO/EO/US)<br/>CONCERNING A SUBMISSION UNDER 35 U.S.C. 371</b>   |  | ATTORNEY'S DOCKET NUMBER<br>7395-000064/US/NP<br>U.S. APPLICATION NO. 10/587690<br>(37 CFR 1.5) |
| INTERNATIONAL APPLICATION NO.<br>PCT/AT2005/000028  | INTERNATIONAL FILING DATE<br>31 January 2005 | PRIORITY DATE CLAIMED<br>29 January 2004  |
| TITLE OF INVENTION<br>A TRANSMISSION COMPRISING A DISPLACEABLE SHIFT FORK AND AN ACTUATOR   |  |   |
| APPLICANT(S) FOR DO/EO/US<br>Andreas BÄR et al.   |  |   |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:   |  |   |
| 1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a submission under 35 U.S.C. 371.<br>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a submission under 35 U.S.C. 371.<br>3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.<br>4. <input checked="" type="checkbox"/> The US has been elected (Article 31).<br>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c)(2))<br>a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).<br>b. <input checked="" type="checkbox"/> has been communicated by the International Bureau.<br>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).<br>6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).<br>a. <input checked="" type="checkbox"/> is attached hereto.<br>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).<br>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))<br>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).<br>b. <input type="checkbox"/> have been communicated by the International Bureau.<br>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.<br>d. <input checked="" type="checkbox"/> have not been made and will not be made.<br>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).<br>9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).<br>10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).<br><b>Items 11 to 20 below concern document(s) or information included:</b><br>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.<br>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.<br>13. <input checked="" type="checkbox"/> A preliminary amendment.<br>14. <input checked="" type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.<br>15. <input checked="" type="checkbox"/> A substitute specification.<br>16. <input type="checkbox"/> A power of attorney and/or change of address letter.<br>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 – 1.825.<br>18. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4).<br>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). |  |   |

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|  |              |   |            |   |              |
|--|--------------|---|------------|---|--------------|
| U.S. APPLICATION NO. (If known, see 37 CFR 1.53)<br><div style="font-size: 2em; font-weight: bold; margin-top: 5px;">10/587690</div>   |              | INTERNATIONAL APPLICATION NO.<br>PCT/AT2005/000028                            |            | ATTORNEY'S DOCKET NUMBER<br>7395-000064/US/NP |              |
| 20. <input checked="" type="checkbox"/> Other items or information: Certificate of Express Mailing (Label EV 805 532 524 US); Check in the amount of \$900.00; Declaration of Translation (1 page); Substitute Specification w/ Mark-up; Formal Drawings showing Figs. 1-5 (3 pages); IDS Citation by Applicant citing 4 refs (1 foreign encl) w/ ISR (in English); Copy of Published International Application WO 2005/073602 A1; Return Receipt Postcard   |              |   |            |   |              |
| The following fees have been submitted   |              |   |            | CALCULATIONS                                  | PTO USE ONLY |
| 21. <input checked="" type="checkbox"/> Basic national fee (37 CFR 1.492(a)) ..... \$300   |              |   |            | \$ 300.00                                     |              |
| 22. <input checked="" type="checkbox"/> Examination fee (37 CFR 1.492(c))<br>If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) ..... \$0<br>All other situations ..... \$200  |              |   |            | \$ 200.00                                     |              |
| 23. <input checked="" type="checkbox"/> Search fee (37 CFR 1.492(b))<br>If the written opinion of the ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) ..... \$0<br>Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority ..... \$100<br>International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB ..... \$400<br>All other situations ..... \$500 |              |   |            | \$ 400.00                                     |              |
| TOTAL OF 21, 22 and 23 =   |              |   |            | \$ 900.00                                     |              |
| <input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)).<br>The fee is \$250 for each additional 50 sheets of paper or fraction thereof.  |              |   |            |   |              |
| Total Sheets   | Extra Sheets | Number of each additional 50 or fraction thereof (round up to a whole number) | RATE       |   |              |
| 12 - 100 =   | /50 =        |   | x \$250.00 | \$  |              |
| Surcharge of \$130 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).   |              |   |            | \$  |              |
| CLAIMS   | NUMBER FILED | NUMBER EXTRA  | RATE       |   |              |
| Total claims   | 8 - 20 =     |   | x          | 0.00  |              |
| Independent claims   | 1 - 3 =      |   | x          | 0.00  |              |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable)  |              |   | +          |   |              |
| TOTAL OF ABOVE CALCULATIONS =  |              |   |            | \$ 900.00                                     |              |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.   |              |   |            |   |              |
| SUBTOTAL =   |              |   |            | \$ 900.00                                     |              |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).  |              |   |            | \$  |              |
| TOTAL NATIONAL FEE =   |              |   |            | \$ 900.00                                     |              |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property   |              |   |            | \$  |              |
|  |              |   |            | \$  |              |
| TOTAL FEES ENCLOSED =  |              |   |            | \$ 900.00                                     |              |
|  |              |   |            | Amount to be refunded:                        | \$           |
|  |              |   |            | Amount to be charged                          | \$           |

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- a. ☒ A check in the amount of \$ 900.00 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees.  
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 08-0750. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038

**NOTE:** Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.

SEND ALL CORRESPONDENCE TO:

Philip E. Rettig  
HARNESSE, DICKEY & PIERCE, P.L.C.  
P. O. Box 828  
Bloomfield Hills, MI 48303  
United States of America

SIGNATURE

Philip E. Rettig

NAME

CUSTOMER NUMBER: 27572

34,000

REGISTRATION NUMBER

Dated: July 27, 2006

Application No. (if known): Not Yet Assigned

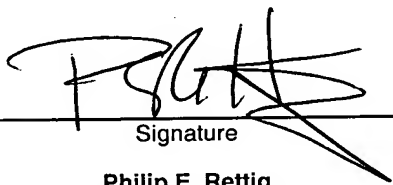
Attorney Docket No.: 7395-000064/US/NP

## Certificate of Express Mailing Under 37 CFR 1.10

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail, Airbill No. **EV 805 532 524 US** in an envelope addressed to:

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P.O. Box 1450  
Alexandria, VA 22313-1450

on July 27, 2006  
Date

  
\_\_\_\_\_  
Signature

**Philip E. Rettig**

\_\_\_\_\_  
Typed or printed name of person signing Certificate

34,000  
Registration Number, if applicable

(248) 641-1600  
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**Note:** Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

1. Certificate of Express Mailing (Label EV 805 532 524 US);
2. Check in the amount of \$900.00;
3. Transmittal Letter to the DO/EO/US (3 pages, in duplicate);
4. Application Data Sheet (3 pages);
5. English Translation of the International application (9 pages) w/  
Declaration of Translator (1 page);
6. Substitute Specification (9 pages);
7. Substitute Specification w/ Mark-up (9 pages);
8. First Preliminary Amendment (5 pages);
9. Formal Drawings showing Figs. 1-5 (3 pages);
10. Information Disclosure Statement (3 pages);
11. IDS Citation by Applicant citing 4 refs (1 foreign encl) w/ ISR (in  
English);
12. Copy of Published International Application WO 2005/073602 A1;
13. Return Receipt Postcard.

## **DECLARATION**

I, Jeffrey C. Barfield of Alpenrosenstrasse 3, 82377 Penzberg, Germany, do hereby declare that I am conversant with the English and German languages and that I am a competent translator thereof.

I verify that the attached English translation is a true and accurate translation of the German language patent application  
WO 2005/073602 A1 having the international file reference  
PCT/AT2005/000028 with the application date January 31, 2005.

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Date: July 24, 2006

A handwritten signature in black ink, appearing to read 'Jeffrey C. Barfield', written over a horizontal line.

Jeffrey C. Barfield